

Personal Information Collection Statement for Opus Securities Limited (“OSL”)

1. The personal data provided by Client(s) and details of transactions or dealing by such Client(s) from time to time may be used for one or more of the following purposes: - (i) the administration and/or management of or in connection with the trading account in respect of Client(s); (ii) conducting direct marketing activities of financial products and/or services by OSL and/or entities of OSL Group as described in paragraph 5 below; (iii) improving and furthering the provision of financial product(s) and/or trading services (including through customer research or surveys) by OSL and/or entities of OSL Group, subject to applicable legislation; (iv) matching for financial product(s) and/or services related purpose with other personal data concerning the Client(s); (v) compliance or in accordance with an order of a court or compliance or in accordance with a law or a requirement made under a law.
2. Failure to provide your information may result us being unable to process your application or perform the services you request.
3. Personal data held by us relating to a Client(s) will be kept confidential but such information may be provided by us or any of our service providers to the following parties for the purposes set out in paragraph 1: - (i) any regulators or government authorities in any jurisdiction; (ii) any service provider, agent or contractor who provides administrative, telecommunications, computer, payment, data processing, matching, storage, customer research or survey or other services in connection with the operation of our business; (iii) relevant services provider(s); (iv) entities of OSL Group. Such information may be transferred to a place outside Hong Kong Special Administrative Region.
4. You have the right to request access to and correction of your personal data held by us. Request should be addressed to: The Data Protection Officer, Opus Securities Limited, 8th Floor, Fung House, 19-20 Connaught Road Central, Central, Hong Kong. For enquiries, please contact our Customer Services Hotline at (852) 2567 0100 or email admin@opusgroup.com.hk.
5. We, Opus Securities Limited, intend to use your personal data in direct marketing of financial product(s) and/or trading services, and we require your consent (which includes an indication of no objection) for that purpose. In this connection, please note that:
 - (i) Your name, contact details, other products and services portfolio information, transaction pattern and behavior, financial background and demographic data held by us from time to time may be used in direct marketing; and
 - (ii) The financial product(s) and/or trading services offered by OSL and/or entities of OSL Group may be marketed.

If you do not wish us to use your personal data for use in direct marketing as described in paragraph 5 above, you may exercise your opt-out right by notifying us.

創富證券有限公司（“創富證券”）的收集個人資料聲明

1. 不時由客戶所提供的個人資料及成交或交易資料的詳情將可被用於以下一項或多項用途：(i) 客戶交易帳戶有關的行政事宜及/或管理；(ii) 進行以下第 5 段所述由創富證券及/或創富證券集團成員所提供的金融產品及/或服務的直接有關促銷活動；(iii) 在適用的法例規限下，改善及進一步提供由創富證券及/或創富證券集團成員所提供的金融產品及/或交易服務（包括透過客戶研究或調查）；(iv) 為任何金融產品及/或服務相關的用途而核對相關參與雇主及/或成員的其他個人資料；(v) 遵守或按照法庭命令或遵守或按照法律或根據法律訂立的規定。
2. 如您未能提供資料將可能導致我們未能處理您的申請或提供您所要求的服務。
3. 由我們持有客戶的個人資料將予保密，但我們或任何我們的服務供應商可能會將該等資料提供給以下各方作第 1 段所述的用途：(i) 任何司法管轄區的監管機構或政府機關；(ii) 任何提供與營運我們的業務有關的行政，電訊，電腦，付帳，資料處理，核對，儲存，客戶研究或調查或其他服務的任何服務供應商、代理人或承包商；(iii) 相關的服務供應商；(iv) 創富證券集團成員。該等資料可能轉移至香港特別行政區以外的地方。
4. 您有權要求查閱及更改由我們持有您的個人資料。如有需要，可致函香港中環干諾道中 19-20 號馮氏大廈 8 樓，向創富證券資料保護主任提出要求。如有查詢，可致電(852)2567 0100 或電郵至 admin@opusgroup.com.hk。
5. 我們，創富證券有限公司，擬把您的個人資料用於金融產品及/或交易服務的直接促銷，而我們為該用途須獲得您的同意（包括表示不反對）。就此，請注意：
 - (i) 我們可能把我們不時持有您的姓名，聯絡資料，其他產品及服務組合資料，交易模式及行為，財務背景人口統計資料用於直接促銷；及
 - (ii) 可用作促銷由創富證券及/或創富證券集團成員所提供的金融產品及/或交易服務。

如您不希望您的個人資料被用於上述第 5 段所述的直接促銷活動，您可通知我們行使您的選擇權拒絕促銷。